




# EMPIR – Rules for Participation

Duncan Jarvis  
[Emrp-pm@euramet.org](mailto:Emrp-pm@euramet.org)



The EMPIR Initiative is co-funded by the European Union's Horizon 2020 research and innovation programme and the EMPIR Participating States



## WARNING

- This presentation is not a substitute for reading the guides at [msu.euramet.org/downloads...](http://msu.euramet.org/downloads...)
  - Guide 1: Admissibility and eligibility
  - Guide 2: How to write a PRT
  - Guide 4: How to write a JRP
  - Guide 5: Project Administrative Data
  - Guide 6: Evaluation
  - Guide 7: How to write a SIP
- Or studying the Annotated Model Grant Agreement

## Implementation



- EURAMET has to follow the Horizon 2020 “Rules for Participation” and many other H2020 requirements - less flexibility than under EMRP
- EC define the financial rules
- EC define text in the contracts (often standard H2020)
- EURAMET is obliged to use EC/H2020 guidelines in some cases – not write our own

EMPIR Rules for participation – Market Extension 2016

## Participation in EMPIR



**Four types of participant in EMPIR projects (see Guide 1)**

### Internal Funded Partner(s)

- EURAMET NMIs and DIs (within their area of designation) from countries that have made a financial contribution to EMPIR (see List 1a)

### External Funded Partner(s)

- All other legal entities established in: Member States, Overseas Countries and Territories (OCT) linked to MS, countries automatically eligible for Horizon 2020 funding, countries associated to Horizon 2020, including non-EMPIR NMIs and DIs plus EMPIR DIs participating outside their field of designation (see List 1b)

### Unfunded Partner(s)

- Any legal entity whose participation adds benefit to the project.
- Legal entities that are eligible to participate as ‘internal’ or ‘external’ may participate as an unfunded partner

### Linked Third Party(s)

- Very rare.
- Please speak to MSU first.

EMPIR Rules for participation – Market Extension 2016

## External participation



- In EMRP, 40 M€ (~20% of the EU contribution) was reserved for the participation of non NMI/DIs through researcher grants.
- In EMPIR, 90 M€ (30 % of the EU contribution) is intended for the participation of non NMI/DIs as External Funded Partners.
- Legal entities may choose to participate as Unfunded Partners and EURAMET would consider this as particularly appropriate where an industrial partner would receive a significant benefit from its participation.
- '20XX Call budget and features' indicates the anticipated external participation etc for each TP.

EMPIR Rules for participation – Market Extension 2016

## Underlying principles of eligible costs



Requirements for eligible costs	Not eligible
<ul style="list-style-type: none"> <li>• Actual</li> <li>• Recorded accurately in the organisation's accounts</li> <li>• Incurred during the project lifetime</li> <li>• Necessary for the project</li> <li>• Budgeted for</li> <li>• Economic</li> <li>• Exclude non-eligible items</li> <li>• Exclude profit</li> <li>• Co-funded</li> </ul>	<ul style="list-style-type: none"> <li>• Return on capital</li> <li>• Interest, debt service charges</li> <li>• Provisions</li> <li>• Exchange losses</li> <li>• Excessive or reckless expenditure</li> <li>• Deductible VAT</li> <li>• Other EU funding</li> </ul>

NOTE: One partner cannot pay the costs of another partner, including unfunded partners

EMPIR Rules for participation – Market Extension 2016

## Costing your proposal and the budget...



- When a consortium submits a project protocol and datasheet they are effectively agreeing that that the work described can be delivered by the contracted parties for the resources and costs indicated (and not just that they are willing to deliver it for the price (i.e. the level of funding) indicated).
- Essentially – **DON'T HIDE COSTS**
- The budget is the budget for the whole project and no part is 'specifically owned' by particular partners

EMPIR Rules for participation – Market Extension 2016

## Cost categories (1)



Labour (Article 6.2A of the AGA)	Subcontract (Article 6.2B of the AGA)	T&S (Article 6.2D1 of the AGA)
<ul style="list-style-type: none"> <li>• Now 3 labour categories</li> <li>• Actual personnel costs</li> <li>• Average personnel costs</li> <li>• Unsalaries SME owners and natural persons</li> </ul>	<ul style="list-style-type: none"> <li>• Now only the subcontract of research items identified in Annex 1 (Protocol)</li> <li>• Rare in EMPIR – contact MSU if planning to budget for this</li> <li>• Pre-approval required</li> </ul>	<ul style="list-style-type: none"> <li>• Attendance at project meetings,</li> <li>• Attendance at a workshop,</li> <li>• Presentation at a conference,</li> <li>• Visit or secondment to another participant to undertake joint testing</li> </ul>

EMPIR Rules for participation – Market Extension 2016

## Cost categories (2)



Equipment (Article 6.2D2 of the AGA)	Other goods and services (Article 6.2D3 of the AGA)	Large Research Infr' (Article 6.2D4 of the AGA)
<ul style="list-style-type: none"> <li>• Minor equipment</li> <li>• Depreciation costs of capital equipment</li> <li>• Renting or hiring of facilities</li> <li>• Purchase of equipment which is required solely for the project</li> </ul>	<ul style="list-style-type: none"> <li>• Consumables</li> <li>• Registration fees for conferences</li> <li>• Catering for a meeting</li> <li>• Engineering workshop costs</li> <li>• Reimbursement of T&amp;S costs for invited speakers at a workshop organised by the project</li> <li>• Publication fees for open access journals.</li> </ul>	<ul style="list-style-type: none"> <li>• Only applicable for cases where EC has approved the organisation's methodology for declaring costs for the large research infrastructure</li> <li>• Rare in EMPIR – contact MSU if planning to budget for this</li> </ul>

## Indirect costs – contribution to overhead



- Organisations no longer funded at ~44 % of the total eligible costs, including overhead.
  - Under H2020 auditors are no longer interested in “overheads”.
- Eligible indirect costs are fixed
  - 5 % for Internal Funded Partners (but subject to review later in EMPIR)
  - 25 % (H2020 standard rate) for other partners

## EU contribution



- EU contribution is calculated as 100 % of total eligible "Costs"
- The same level of resource and cost data is required from all types of participant

EMPIR Rules for participation – Market Extension 2016

## Financial Audits



A 'certificate on the financial statements' (drawn up in accordance with Annex 5) is required for each beneficiary [and for each linked third party], if it requests a total contribution of 325 k€ or more, as reimbursement of actual costs and unit costs calculated on the basis of its usual cost accounting practices (see Article 5.2 and Article 6.2, Point A)

(However EURAMET may still audit)

EMPIR Rules for participation – Market Extension 2016

## JRP Reporting



Formal Reporting periods of 18 months (60 days to submit)

Interim reporting every 9 months (45 days to submit)

Months	Report type	Period covered	Technical and Impact Reporting	Financial Reporting
9, 27	Interim	Previous 9 months	Yes	No
18, 36	Periodic	Previous 18 months	Yes	Yes
36	Final Public	Full 36 months	Yes	No

EMPIR Rules for participation - Madrid February 2016

## Grant Agreement



See

[http://ec.europa.eu/research/participants/data/ref/h2020/other/mga/art185/h2020-mga-empir-multi\\_en.pdf](http://ec.europa.eu/research/participants/data/ref/h2020/other/mga/art185/h2020-mga-empir-multi_en.pdf) (161 pages)

And

[http://ec.europa.eu/research/participants/data/ref/h2020/grants\\_manual/amga/h2020-amga\\_en.pdf](http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/amga/h2020-amga_en.pdf) (698 pages)

EMPIR Rules for participation - Madrid February 2016

Questions?



EMPIR Rules for participation - Market Release 2016